

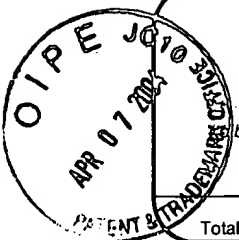
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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
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TRANSMITTAL  
FORM

to be used for all correspondence after initial filing)

Application Number	09/692,884
Filing Date	10/20/2000
First Named Inventor	Kenneth R. Owens
Art Unit	2665
Examiner Name	Jason E. Mattis
Attorney Docket Number	04910.00.0003

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Total Number of Pages in This Submission

## ENCLOSURES (Check all that apply)

- |  |  |   |
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>-Copies of references<br>-Postcard |
|--|--|---|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Joseph P. Krause, Reg. No. 32,578		
Signature	<i>Joseph P. Krause</i>		
Date	April 7, 2004		

## CERTIFICATE OF TRANSMISSION/MAILING

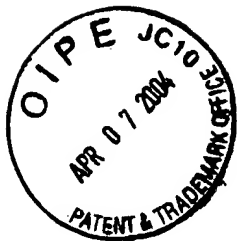
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Winona K. Jackson		
Signature	<i>Winona K. Jackson</i>	Date	April 7, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants: Kenneth R. Owens et al.  
Serial No.: 09/692,884  
Filing Date: October 20, 2000  
Confirmation No.: 6113

Examiner: Jason E. Mattis  
Art Group: 2665  
Docket No.: 4910.00003  
Our File No.: 04910.00.0003

APR 12 2004

Technology Center 2600

Title: **METHOD FOR ESTABLISHING AN MPLS DATA NETWORK PROTECTION  
PATHWAY**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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April 7, 2004  
Date

*Winona K. Jackson*  
Winona K. Jackson

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**IN ACCORDANCE WITH 37 CFR §§ 1.97(C) AND 1.98**

Dear Sirs:

Pursuant to 37 CFR §§ 1.97(C) and 1.98, Applicants respectfully submit the following statement consisting of:

1. A list of documents; and
2. General remarks.

A copy of the listed documents are enclosed herewith along with Form PTO/SB/08A.

1. Documents
  - a. U.S. Patent Application No. US 2002/0181485 A1, published December 5, 2002 (CAO et al);
  - b. U.S. Patent No. 6,594,268 issued 07/15/03 (Aukia et al);
  - c. U.S. Patent No. 6,647,208 issued 11/11/03 (Kirby);

- d. U.S. Patent No. 6,530,032 issued 03/04/03 (Shew et al);
- e. U.S. Patent No. 6,452,942 issued 09/17/02 (Lemieux);
- f. U.S. Patent No. 6,535,481 issued 03/18/03 (Andersson et al);
- g. U.S. Patent No. 6,532,088 issued 03/11/03 (Dantu et al);
- h. U.S. Patent No. 6,530,032 issued 03/04/03 (Shew et al);
- i. U.S. Patent No. 5,241,534 issued 08/31/93 (Omuro et al);
- j. U.S. Patent No. 5,450,578 issued 09/12/95 (Mackenthun);
- k. U.S. Patent No. 5,771,227 issued 06/23/98 (Benayoun et al);
- l. U.S. Patent No. 5,838,924 issued 11/17/98 (Anderson et al);
- m. U.S. Patent No. 5,991,300 issued 11/23/99 (Tappan);
- n. U.S. Patent No. 6,055,561 issued 04/25/00 (Feldman et al);
- o. U.S. Patent No. 6,065,061 issued 05/16/00 (Blahut et al);
- p. U.S. Patent No. 6,226,260 issued 05/05/01 (McDysan);
- q. U.S. Patent No. 6,167,025 issued 12/26/00 (Hsing et al);
- r. U.S. Patent No. 6,538,987 issued 03/25/03 (Cedrone et al);
- s. U.S. Patent No. 6,215,765 issued 04/10/01 (McAllister et al);
- t. U.S. Patent No. 5,138,615 issued 08/11/92 (Lamport et al.);
- u. U.S. Patent No. 6,111,959 issued 08/29/00 (Greaves et al);
- v. U.S. Patent No. 5,436,886 issued 07/25/95 (McGill);
- w. Arnun Viswanathan, et al., "Evolution of Multiprotocol Label Switching,"


IEEE Communications Magazine, May 1998; and

x. Francois Le Faucheur, "IETF Multiprotocol Label Switching (MPLS) Architecture," Cisco Systems France.

2. General Remarks

The submission of the above documents are not an admission that the information is prior art, analogous or otherwise material. It is respectfully requested that the above-listed documents be considered and made of record in the present application.

Respectfully submitted,

By:   
Joseph P. Krause  
Registration No. 32,578

Date: April 7, 2004

Vedder, Price, Kaufman & Kammholz, P.C.  
222 N. LaSalle Street  
Chicago, Illinois 60601  
PHONE: (312) 609-7536  
FAX: (312) 609-5005  
E-MAIL: [jkrause@vedderprice.com](mailto:jkrause@vedderprice.com)